

CONVERSION FROM PROVISIONAL TO  
REGULAR PATENT APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: David A. Schwartz  
Application No.: 60/262,094  
Filed: 16 January 2001  
Title: "TERNARY BIOMOLECULE/POLYMER/SURFACE-BASED  
IMMOBILIZATION SYSTEMS"

**DECLARATION FOR PATENT APPLICATION**

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my names.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled "Ternary Biomolecule/Polymer/Surface-Based Immobilization Systems", the specification of which is attached hereto unless the following box is checked:

X was filed on January 16, 2001 as United States Provisional Patent Application Number 60/262,094 of PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclosed information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or § 365 (b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Not Claimed
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

(Application Number)	(Filing Date)
(Application Number)	(Filing Date)

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or § 365 (c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Application Number)	(Filing Date)	(Status-patented, pending, abandoned)
(Application Number)	(Filing Date)	(Status-patented, pending, abandoned)

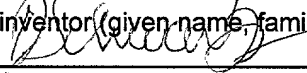
I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

David B. Waller, Patent Agent No.: 43, 978

Address all correspondence to: David B. Waller by Phone: (858) 457-2014  
by Facsimile: (858) 450-2308  
by E-Mail: dwaller@cnmnetwork.com

Address all correspondence to: David B. Waller  
David B. Waller & Associates  
5677 Oberlin Drive, Suite 214  
San Diego, CA 92121

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, or any patent issued thereon.

Full name of sole or first inventor (given name, family name): David A. Schwartz  
Inventor's signature  Date: 07.12.04  
Post Office Address: 1544 Valleda Lane, Encinitas CA 92024 Citizenship: United States  
Residence city: Encinitas Residence State: California Residence country: United States

☐ Additional inventors are being named on separately numbered sheets attached hereto.

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**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37  
CFR §1.9 (f) and §1.27 (b)) - INDEPENDENT INVENTOR**

As the below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR §1.9 (c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the utility patent application specification filed herewith with title as listed above.  
☐ the design patent application specification filed herewith with title as listed above.  
☐ the plant patent application specification filed herewith with title as listed above.  
☐ the provisional application identified above.  
☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR §1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR §1.9 (d) or a nonprofit organization under 37 CFR §1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract to assign, grant, convey or license, any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.  
☐ Each such person, concern or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the

earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent on which this verified statement is directed.

David A. Schwartz

United States

NAME OF INVENTOR

Citizenship

1544 Valleda Lane

Encinitas

California

Address

City

State



01-12-04

Signature of inventor

Date

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

David B. Waller  
Patent Agent No.: 43,978  
Telephone: (858) 457-2014  
Facsimile: (858) 457-2308  
Address: David B. Waller & Associates  
5677 Oberlin Drive, Suite 214  
San Diego, CA 92121

## A S S I G N M E N T


I, DAVID A. SCHWARTZ, a citizen of the United States of America, residing at 1544 Valleda Lane, Encinitas, California 92024, U.S.A., for good and valuable consideration, receipt of which is hereby acknowledged, have assigned and do hereby assign to **SOLULINK, INCORPORATED**, a corporation organized under the laws of the State of California, with its principal place of business in San Diego, California, U.S.A., its successors, assigns and legal representatives, the entire right, title and interest in and to U.S. Provisional Patent Application Serial No. 60/262,094, entitled:

### **TERNARY BIOMOLECULE/POLYMER/SURFACE-BASED IMMOBILIZATION SYSTEMS**

which was filed on January 16, 2001, and in and to all Convention and Treaty rights of all kinds, in all countries throughout the world, for all such subject matter, including the right to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise and, in and to, all Letters Patent to be obtained for said subject matter by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(b) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent.

I agree to do all acts and sign all documents necessary to secure, defend and maintain all said Letters Patent and rights, including any continuations, divisionals, reissues, renewals and extensions, and request issuance of all said Letters Patent to the above Assignee in accordance with this assignment.

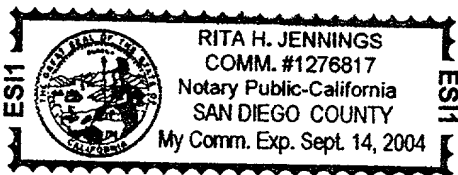
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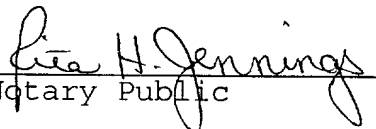
  
\_\_\_\_\_  
DAVID A. SCHWARTZ

STATE OF California )  
COUNTY OF San Diego ) SS.

On June 28, 2001, before me, the undersigned, a notary public for the State of California, personally appeared **DAVID A. SCHWARTZ**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person or the entity upon behalf of which the person acted executed the instrument.

WITNESS my hand and official seal.



  
\_\_\_\_\_  
Notary Public